

- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5681-03000/BNK.

Respectfully submitted,



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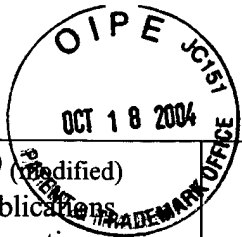
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Date: 10-15-04

**Form PTO-1449 (modified)**

List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTY. DKT. NO. 5681-03000

APPLICANT: Garnett, et al

FILING DATE: August 24, 2001

SERIAL NO. 09/938,800

GROUP: 2185

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT
	B1	2002/0065996	5/30/02	Garnett, et al			
	B2	2002/0065986	5/30/02	Garnett, et al			
	B3	6,785,777	8/31/04	Garnett, et al			
	B4	6,785,763	8/31/04	Garnett, et al			
	B5	6,782,453	8/24/04	Keltcher, et al			
	B6	6,640,287	10/28/03	Gharachorloo, et al			
	B7	6,622,219	9/16/03	Tremblay, et al			
	B8	6,496,940	12/17/02	Horst, et al			
	B9	6,260,159	7/10/01	Garnett, et al			
	B10	6,233,702	5/15/01	Horst, et al			
	B11	6,151,689	11/21/00	Garcia, et al			
	B12	5,838,894	11/17/98	Horst			
	B13	5,790,776	8/4/98	Sonnier, et al			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	B14	UK Combined Search and Examination Report, application no. GB0029107.0, filed November 29, 2000.					

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Paul Jeffrey Garnett and Jeremy Graham Harris
Assignee: Sun Microsystems, Inc.
Serial No.: 09/938,800
Filed: August 24, 2001
Title: PROTECTION FOR MEMORY MODIFICATION TRACKING

Attorney Docket No.: 5481-03000/P4649

The date stamp of the mail room of the U.S. Patent and Trademark Office hereon will acknowledge receipt of the attached 1) Information Disclosure Statement w/accompanying Form PTO-1449 and references A1 - A2; and 2) Return Postcard.

BNK\ka

Via First Class Mail

date: 11/5/01

